PTO/SB/21 (09-04)

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Application Number 10/565,684 TRANSMITTAL Filing Date January 24, 2006 **FORM** First Named Inventor Paul Schlüter Art Unit Not Yet Assigned **Examiner Name** Not Yet Assigned sed for all correspondence after initial filing) Total Number of Pages in This Submission Attorney Docket Number 2003P10979WOUS ENCLOSURES (check all that apply) | Fee Transmittal Form Drawing(s) After Allowance Communication to TC Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Petition Appeal Communication to TC Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Terminal Disclaimer Other Enclosure(s) Extension of Time Request (please identify below): Return Receipt Postcard Request for Refund Express Abandonment Request CD. Number of CD(s) Information Disclosure Statement ☐ Landscape Table on CD Remarks Certified Copy of Priority Document(s) Supplemental Information Disclosure Statement, PTO/SB/08a, Reply to Missing Parts/ PTO/SB/08b, copies of the 5 references, and a copy of the Incomplete Application correspondence from a foreign patent office are being filed. Reply to Missing Parts under 37 CFR1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm SIEMENS Signature Printed Name DANIEL J. STAUDT Reg. Date April 20, 2006 34,733 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

April 20, 2006

Typed or printed name

BARBARA QUINN

SIEMENS

PATENT Attorney Docket No. 2003P10979WOUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Paul Schlüter)		
)	Group Art Unit:	Not Yet Assigned
10/565,684)		
)	Examiner:	Not Yet Assigned
January 24, 2006)		
	10/565,684	10/565,684) Group Art Unit: 10/565,684) Examiner:

Title:

METHOD FOR DETERMINING A CONNECTION PATH AND AN

ASSOCIATED UNOCCUPIED WAVELENGTH CHANNEL

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner is respectfully requested to consider and make of record the five (5) references listed on the enclosed Forms PTO/SB/08a and PTO/SB/08b submitted herewith. Copies of the listed references are also enclosed. The references were first cited in the enclosed communication from a foreign patent office in a counterpart foreign application, are being filed before the mailing date of a first Office Action on the merits, are being filed within three months of the filing date of this above-referenced application, and presumably no fee is required.

As provided in 37 C.F.R. § 1.97(g) and (h), the Information Disclosure Statements filed in this case shall not be construed either as a representation that a search has been

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Atty. Doc. No. 2003P10979WOUS

made or as an admission that the information cited in the statement is or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b). The filing of the Information Disclosure Statements shall not be construed as an admission against interest in any manner.

CONCLUSION

Should the Examiner have any questions concerning this paper or application, or if any undeveloped issues remain, the examiner is respectfully requested to contact Applicant's undersigned attorney by telephone to resolve such questions or issues. All correspondence should continue to be directed to our below-listed address.

The commissioner is hereby authorized to charge any appropriate fees due in connection with this paper or credit any overpayments to Deposit Account No. 19-2179.

A

Daniel J. Staudt Registration No. 34,733

Respectfully submitted,

(407) 736-6449

Siemens Corporation
Intellectual Property Department
170 Wood Avenue South
Iselin, New Jersey 08830

PTO/SB/08a (05-03)

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Not Yet Assigned

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Substitute to Complete if Known 10/565,684 Application Number INFORMATION DISCLOSURE Filing Date January 24, 2006 STATEMENT BY APPLICANT First Named Inventor Paul Schlüter

Art Unit

(use as many sheets as necessary)

Examiner Name Not Yet Assigned Sheet of 2 2003P10979WOUS Attorney Docket Number

U.S. PATENT DOCUMENTS					
Examiner Cite No.1	Cita	Document Number	Publication Date	Name of Patentee or Applicant of	Pages Columns Lines Where Relevan
	Number - Kind Code ² (if known)	MM-DD-YYYY	Cited Document	Pages, Columns, Lines, Where Releva Passages or Relevant Figures Appear	
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	FOREIGN PATENT DOCUMENTS						
Examiner Cite No.1	0:1-	Foreign Patent Document	ent Document	Name of Patentee or	Pages, Columns, Lines,		
	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁶		
	1	EP 1 303 160 A2	04-16-2003	Innovance Inc.			
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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ork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number Substitute for form 1449A/PTO Complete if Known **Application Number** 10/565,684 INFORMATION DISCLOSURE January 24, 2006 Filing Date STATEMENT BY APPLICANT First Named Inventor Paul Schlüter Group Art Unit Not Yet Assigned

(use as many sheets as necessary)

Not Yet Assigned **Examiner Name** Sheet of 2003P10979WOUS Attorney Docket Number

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
	3	PIN-HAN HO, HUSSEIN T. MOUFTAH; "A Novel Distributed Control Protocol in Dynamic Wavelength-Routed Optical Networks"; IEEE Workshop on High-Performance Switching and Routing; IEEE Communications Magazine; November 2002; Pages 38-45; Vol. 40, No. 11.			
	4	ZHENSHENG ZHANG, JAMES FU, DAN GUO, LEAH ZHANG; "Lightpath Routing for Intelligent Optical Networks"; IEEE Network; July/August 2001; Pages 28-35.			
	5	JOHN STRAND, ROBERT DOVERSPIKE, GUANGZHI LI; "Importance of Wavelength Conversion in an Optical Network"; Optical Networks Magazine; May/June 2001; Pages 33-44.			
	6	AHMED MOKHTAR, MURAT AZIZOĞLU; "Adaptive Wavelength Routing in All-Optical Networks"; IEEE; IEEE/ACM Transactions on Networking; April 1998; Pages 197-206; Vol. 6, No. 2.			

Examiner	Date	Ì
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.